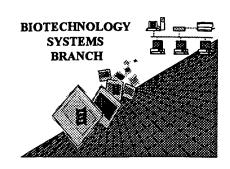
Roner

# RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following CRF diskette:

Application Serial Number:

09/051,670

Art Unit / Team No. :

12/2/198

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS THE ERRORS DETECTED.

PLEASE BE SURE TO FORWARD THIS INFORMATION TO THE APPLICANTS BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANTS ALONG WITH A NOTICE TO COMPLY or,
- 2) CALLING APPLICANTS AND FAXING THEM A COPY OF THE PRINTOUT WITH A NOTICE TO COMPLY

THIS WILL INSURE THAT THE NEXT SUBMISSION RECEIVED FROM THEM WILL BE ERROR FREE.

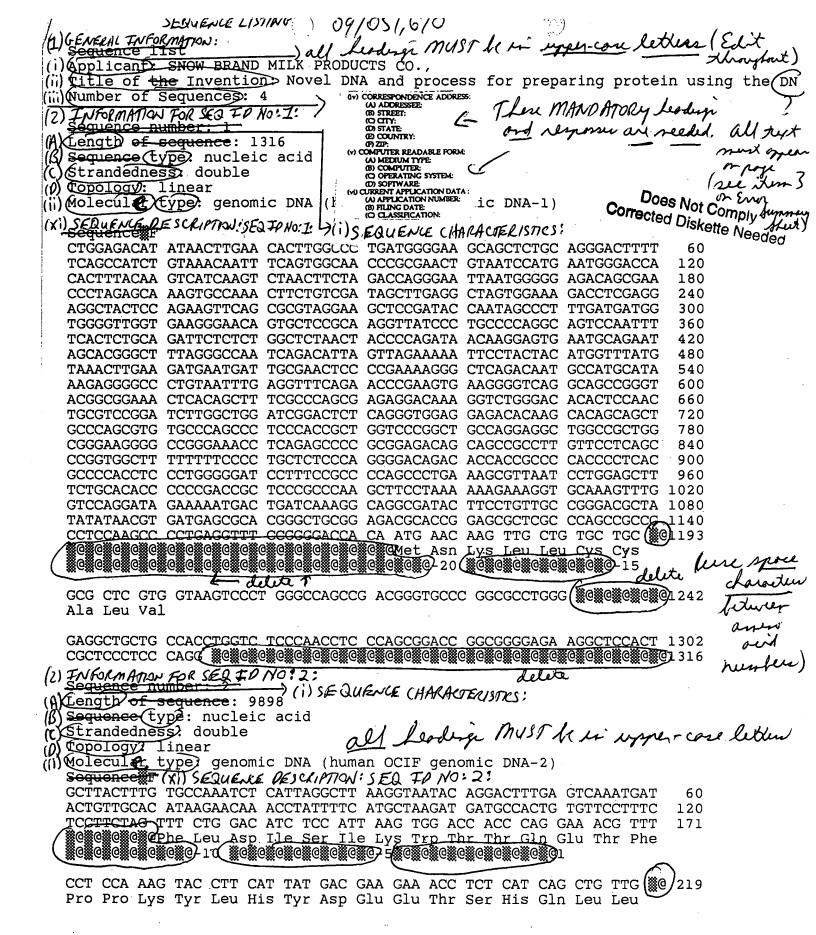
IF YOU HAVE ANY FURTHER QUESTIONS, PLEASE CALL:

ARTI SHAH 703-308-4212

## Raw Sequence Listing Error Summary

	ERROR DETECTED	SUGGESTED CORRECTION	SERIAL NUMBER: _	09/051,670
	N: NEW RULES CASES: _ Wrapped Nucleics	PLEASE DISREGARD ENGLISH "ALPHA" The number/text at the end of each line	"wrapped" down to the next line.	TED BY PTO SOFTWARE
		This may occur if your file was retrieved Please adjust your right margin to .3, as	in a word processor after creating a.  this will prevent "wrapping".	
2	_ Wrapped Aminos	The amino acid number/text at the end of This may occur if your file was retrieved Please adjust your right margin to .3, as	in a word processor after creating it.	kt line.
3	_ Incorrect Line Length	The rules require that a line not exceed 7		spaces.
		All text must be visible on page.	•••	• <del>-</del> .
4	Misaligned Amino Acid Numbering	. The numbering under each 5th amino ac between the numbering. It is recommend	ld is misaligned. This may be caused led to delete any tabs and uses spacin	by the use of tabs ig between the numbers.
5	Non-ASCII	This file was not saved in ASCII (DOS) to Please ensure your subsequent submiss	ext, as required by the Sequence Rule ion is saved in ASCII text so that it can	s. n be processed.
6	Variable Length	Sequence(s) contain n's or Xaa's w As per the rules, each n or Xaa can only	hich represented more than one residu	Je.
		Please present the maximum number of	each residue having variable length ar	nd
		indicate in the (ix) features section that s		
7	Wrong Designation	Sequence(s) contain amino acid or representations as per the Sequence Rule	nucleic acid designators which are not es (Please refer to paragraph 1.822)	standard
8	Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, (2) INFORMATION FOR SEQ ID NO:X:		
		(I) SEQUENCE CHARACTERISTICS:(Do (xI) SEQUENCE DESCRIPTION:SEQ ID		JENCE CHARACTERISTICS")
		This sequence is intentionally skipped		
		Please also adjust the *(iii) NUMBER OF	SEQUENCES:" response to include th	e skipped sequence(s).
9	Skipped Sequences (NEW RULES)	Sequence(s) missing. If Intentional, <210> sequence id number	please use the following format for each	ch skipped sequence.
		<400> sequence id number 000		•
10	Use of N's or Xaa's	Use of N's and/or Xaa's have been detect	ed in the Sequence Listing.	
	(NEW RULES)	Use of <220> to <223> is MANDATORY if	n's or Xaa's are present.	
	·			••
11	Use of <213>Organism (NEW RULES)	Sequence(s) are missing this ma	ndatory field or its response.	
	Use of <220>Feature	Sequence(s) are missing the <220>F	eature and associated headings.	
		Use of <220> to <223> is MANDATORY if		
		(See "Federal Register," 6/01/98, \ (Sec. 1.823 of new Sequence Rule		•
13 _	Wrong F mat	File submitted was in the alphabetical head	ding format of the Old Sequence Rules	.This is invalid since the
	•	Requirements for Patent Applications Con	taining Nucleotide Sequence and/or A	mino Acid Disclosures"
		Federal Register Notice, Vol. 63, No. 104,	June 1, 1998, p. 29620	
		applies to applications filed on or after July	1, 1998.	

AKS-Biotechnology Systems Branch- 7/10/98



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TGT GAC AAA TGT CCT CCT GGT ACC TAC CTA AAA CAA CAC TGT ACA GCA ( 267 Cys Asp Lys Cys Pro Pro Gly Thr Tyr Leu Lys Gln His Cys Thr Ala AAG TGG AAG ACC GTG TGC GCC CCT TGC CCT GAC CAC TAC TAC ACA GAC ( 36 315 Lys Trp Lys Thr Val Cys Ala Pro Cys Pro Asp His Tyr Tyr Thr Asp AGC TGG CAC ACC AGT GAC GAG TGT CTA TAC TGC AGC CCC GTG TGC AAG ( 363 GAG CTG CAG TAC GTC AAG CAG GAG TGC AAT CGC ACC CAC AAC CGC GTG(\$\frac{\text{\text{\text{\text{G}}}}{2}}{2}\) 411 Glu Leu Gln Tyr Val Lys Gln Glu Cys Asn Arg Thr His Asn Arg Val TGC GAA TGC AAG GAA GGG CGC TAC CTT GAG ATA GAG TTC TGC TTG AAA(\(\)@0)459 Cys Glu Cys Lys Glu Gly Arg Tyr Leu Glu Ile Glu Phe Cys Leu Lys CAT AGG AGC TGC CCT CCT GGA TTT GGA GTG CTG CAA GCT G GTACGTGTCA ( ) 509 ATGTGCAGCA AAATTAATTA GGATCATGCA AAGTCAGATA GTTGTGACAG TTTAGGAGAA 569 CACTTTTGTT CTGATGACAT TATAGGATAG CAAATTGCAA AGGTAATGAA ACCTGCCAGG 629 TAGGTACTAT GTGTCTGGAG TGCTTCCAAA GGACCATTGC TCAGAGGAAT ACTTTGCCAC 689 TACAGGGCAA TTTAATGACA AATCTCAAAT GCAGCAAATT ATTCTCTCAT GAGATGCATG 749 ATGGTTTTT TTTTTTTT TAAAGAAACA AACTCAAGTT GCACTATTGA TAGTTGATCT 809 ATACCTCTAT ATTTCACTTC AGCATGGACA CCTTCAAACT GCAGCACTTT TTGACAAACA 869 TCAGAAATGT TAATTTATAC CAAGAGAGTA ATTATGCTCA TATTAATGAG ACTCTGGAGT GCTAACAATA AGCAGTTATA ATTAATTATG TAAAAAATGA GAATGGTGAG GGGAATTGCA TTTCATTATT AAAAACAAGG CTAGTTCTTC CTTTAGCATG GGAGCTGAGT GTTTGGGAGG 1049 GTAAGGACTA TAGCAGAATC TCTTCAATGA GCTTATTCTT TATCTTAGAC AAAACAGATT 1109 GTCAAGCCAA GAGCAAGCAC TTGCCTATAA ACCAAGTGCT TTCTCTTTTG CATTTTGAAC 1169 AGCATTGGTC AGGGCTCATG TGTATTGAAT CTTTTAAACC AGTAACCCAC GTTTTTTTTC 1229 TGCCACATTT GCGAAGCTTC AGTGCAGCCT ATAACTTTTC ATAGCTTGAG AAAATTAAGA 1289 GTATCCACTT ACTTAGATGG AAGAAGTAAT CAGTATAGAT TCTGATGACT CAGTTTGAAG 1349 CAGTGTTTCT CAACTGAAGC CCTGCTGATA TTTTAAGAAA TATCTGGATT CCTAGGCTGG 1409 ACTCCTTTTT GTGGGCAGCT GTCCTGCGCA TTGTAGAATT TTGGCAGCAC CCCTGGACTC 1469 TAGCCACTAG ATACCAATAG CAGTCCTTCC CCCATGTGAC AGCCAAAAAT GTCTTCAGAC 1529 ACTGTCAAAT GTCGCCAGGT GGCAAAATCA CTCCTGGTTG AGAACAGGGT CATCAATGCT 1589 AAGTATCTGT AACTATTTTA ACTCTCAAAA CTTGTGATAT ACAAAGTCTA AATTATTAGA 1649 CGACCAATAC TTTAGGTTTA AAGGCATACA AATGAAACAT TCAAAAATCA AAATCTATTC 1709 TGTTTCTCAA ATAGTGAATC TTATAAAATT AATCACAGAA GATGCAAATT GCATCAGAGT 1769 CCCTTAAAAT TCCTCTTCGT ATGAGTATTT GAGGGAGGAA TTGGTGATAG TTCCTACTTT 1829 CTATTGGATG GTACTTTGAG ACTCAAAAGC TAAGCTAAGT TGTGTGTGTG TCAGGGTGCG 1889 GGGTGTGGAA TCCCATCAGA TAAAAGCAAA TCCATGTAAT TCATTCAGTA AGTTGTATAT 1949 GTAGAAAAAT GAAAAGTGGG CTATGCAGCT TGGAAACTAG AGAATTTTGA AAAATAATGG 2009 AAATCACAAG GATCTTTCTT AAATAAGTAA GAAAATCTGT TTGTAGAATG AAGCAAGCAG 2069 GCAGCCAGAA GACTCAGAAC AAAAGTACAC ATTTTACTCT GTGTACACTG GCAGCACAGT 2129 GGGATTTATT TACCTCTCCC TCCCTAAAAA CCCACACAGC GGTTCCTCTT GGGAAATAAG 2189 AGGTTTCCAG CCCAAAGAGA AGGAAAGACT ATGTGGTGTT ACTCTAAAAA GTATTTAATA 2249 

TACTTCATTC TGTTAATTCC TGTGGAATTA CTTAGAGCAA GCATGGTGAA TTCTCAACTG 2369

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TATTTCCACT GATAGTAATA AGGTAAAATC ATTACTTAGA TGGATAGATC TTTTTCATAA 2489
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O C C Thr Pro Glu Arg Asn Thr
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  TTT GCT GTT CCT ACA AAG TTT ACG CCT AAC TGG CTT AGT GTC TTG GTA/ 896795
GAC AAT TTG CCT GGC ACC AAA GTA AAC GCA GAG AGT GTA GAG AGG ATA( $60,843
Asp Asn Leu Pro Gly Thr Lys Val Asn Ala Glu Ser Val Glu Arg Ile
AAA CGG CAA CAC AGC TCA CAA GAA CAG ACT TTC CAG CTG CTG AAG TTA ( 380 6891
Lys Arg Gln His Ser Ser Gln Glu Gln Thr Phe Gln Leu Lys Leu
TGG AAA CAT CAA AAC AAA GAC CAA GAT ATA GTC AAG AAG ATC ATC CAA G 6940
GTATGATAAT CTAAAATAAA AAGATCAATC AGAAATCAAA GACACCTATT TATCATAAAC 7000
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ACATTGCACC TCTACCAAGA AGCTCTGTTG TATTTACTTG GTAATTCTCT CCAGGTAGGC 7360 TTTTCGTAGC TTACAAATAT GTTCTTATTA ATCCTCATGA TATGGCCTGC ATTAAAATTA 7420 TTTTAATGGC ATATGTTATG AGAATTAATG AGATAAAATC TGAAAAGTGT TTGAGCCTCT 7480 TGTAGGAAAA AGCTAGTTAC AGCAAAATGT TCTCACATCT TATAAGTTTA TATAAAGATT 7540 CTCCTTTAGA AATGGTGTGA GAGAGAAACA GAGAGAGATA GGGAGAGAAG TGTGAAAGAA 7600 TCTGAAGAAA AGGAGTTTCA TCCAGTGTGG ACTGTAAGCT TTACGACACA TGATGGAAAG 7660 AGTTCTGACT TCAGTAAGCA TTGGGAGGAC ATGCTAGAAG AAAAAGGAAG AAGAGTTTCC 7720 ATAATGCAGA CAGGGTCAGT GAGAAATTCA TTCAGGTCCT CACCAGTAGT TAAATGACTG 7780 TATAGTCTTG CACTACCCTA AAAAACTTCA AGTATCTGAA ACCGGGGCAA CAGATTTTAG 7840 GAGACCAACG TCTTTGAGAG CTGATTGCTT TTGCTTATGC AAAGAGTAAA CTTTTATGTT 7900 TTGAGCAAAC CAAAAGTATT CTTTGAACGT ATAATTAGCC CTGAAGCCGA AAGAAAAGAG 7960 AAAATCAGAG ACCGTTAGAA TTGGAAGCAA CCAAATTCCC TATTTTATAA ATGAGGACAT 8020 TTTAACCCAG AAAGATGAAC CGATTTGGCT TAGGGCTCAC AGATACTAAG TGACTCATGT 8080 CATTAATAGA AATGTTAGTT CCTCCCTCTT AGGTTTGTAC CCTAGCTTAT TACTGAAATA 8140 TTCTCTAGGC TGTGTGTCTC CTTTAGTTCC TCGACCTCAT GTCTTTGAGT TTTCAGATAT 8200 CCTCCTCATG GAGGTAGTCC TCTGGTGCTA TGTGTATTCT TTAAAGGCTA GTTACGGCAA 8260 TTAACTTATC AACTAGCGCC TACTAATGAA ACTTTGTATT ACAAAGTAGC TAACTTGAAT 8320 ACTTTCCTTT TTTTCTGAAA TGTTATGGTG GTAATTTCTC AAACTTTTTC TTAGAAAACT 8380 GAGAGTGATG TGTCTTATTT TCTACTGTTA ATTTTCAAAA TTAGGAGCTT CTTCCAAAGT 8440 TTTGTTGGAT GCCAAAAATA TATAGCATAT TATCTTATTA TAACAAAAAA TATTTATCTC 8500 AGTTCTTAGA AATAAATGGT GTCACTTAAC TCCCTCTCAA AAGAAAAGGT TATCATTGAA 8560 ATATAATTAT GAAATTCTGC AAGAACCTTT TGCCTCACGC TTGTTTTATG ATGGCATTGG 8620 ATGAATATAA ATGATGTGAA CACTTATCTG GGCTTTTGCT TTATGCAG AT ATT GAC ( \$6676 CTC TGT GAA AAC AGC GTG CAG CGG CAC ATT GGA CAT GCT AAC CTC ACC \$608724
Leu Cys Glu Asn Ser Val Gln Arg His Ile Gly His Ala Asn Leu Thr TTC GAG CAG CTT CGT AGC TTG ATG GAA AGC TTA CCG GGA AAG AAA GTG ( \$\) 98772 GGA GCA GAA GAC ATT GAA AAA ACA ATA AAG GCA TGC AAA CCC AGT GAC( 380)8820 CAG ATC CTG AAG CTG CTC AGT TTG TGG CGA ATA AAA AAT GGC GAC CAA/ 36/8868 GAC ACC TTG AAG GGC CTA ATG CAC GCA CTA AAG CAC TCA AAG ACG TAC ( 36/89) 16

Asp Thr Leu Lys Gly Leu Met His Ala Leu Lys His Ser Lys Thr Tyr **(\*)**320 **(\*)**320 **(\*)**320 **(\*)**330

CAC TTT CCC AAA ACT GTC ACT CAG AGT CTA AAG AAG ACC ATC AGG TTC ( \$696964 

CTT CAC AGC TTC ACA ATG TAC AAA TTG TAT CAG AAG TTA TTT TTA GAA ( \$ 9 012 Leu His Ser Phe Thr Met Tyr Lys Leu Tyr Gln Lys Leu Phe Leu Glu (<u>#@#@#@#@#@#@</u>)55(#@#@#@#@#@#@#@

ATG ATA GGT AAC CAG GTC CAA TCA GTA AAA ATA AGC TGC TTA (@@@@@@@@@@@)054 Met Ile Gly Asn Gln Val Gln Ser Val Lys Ile Ser Cys Leu 

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TATATAATG ACATTATTAA AGTTTTCAAA TTATTTTTTA TTGCTTTCTC TGTTGCTTTT 9894
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(9898987TTA
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(2) INFORMATION FOR SEQ ID NO: 3:

(A) Cength of sequence: 401

(1) SEQUENCE (MARCHETERISTICS:

(6) Sequence Cype: amino acid
(6) Strandedness: single stranded

O Topology: linear

Molecular type: protein

Sequence # (Xi) SEQUENCE DESCRIPTION: SEQ ID NO: 31 @55**6** 65 QGIn Tyr Val Lys Gln Glu Cys Asn Arg Thr His Asn Arg Val Cys 75 e7 **@@@@@@@@@**@ 80 @Glu Cys Lys Glu Gly Arg Tyr Leu Glu Ile Glu Phe Cys Leu Lys
@85@@@@@@@@@@@ 90
@His Arg Ser Cys Pro Pro Gly Phe Gly Val Val Gln Ala Gly Thr
@100 @@@@@@@@@@ 105 @@@@@@@@@ 110 dPro Glu Arg Asa Thr Val Cys Lys Arg Cys Pro Asp Gly Phe Phe q115 **(**@@@@@@@@@@@@ 125 Ser Asn Glu Thr Ser Ser Lys Ala Pro Cys Arg Lys His Thr Asn 135 (2000) 140 Cys Ser Val Phe Gly Leu Leu Thr Gln Lys Gly Asn Ala Thr **Q**His Asp Asn Ile Cys Ser Cly Asn Ser Glu Ser Thr Gln Lys Cys 170 GGly Île Asp Val Thr Leu Cys Glu Glu Ala Phe Phe Arg Phe Ala 175 @ @ @ @ @ @ @ D 180 @ @ @ @ @ @ D 185 GVal Pro Thr Lys Phe Thr Pro Asn Tro Leu Ser Val Leu Val Asp 190 @ @ @ @ @ @ D 195 @ @ @ @ @ @ D 200 @Asn Leu Pro Gly Thr Lys Val Asn Ala Glu Ser Val Glu Arg Ile @205 @@@@@@@@@@ 210 @@@@@@@@@ 215 Lys Arg Gln His Ser Ser Gln Glu Gln Thr Phe Gln Leu Leu Lys

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230
CLeu Trp Lys His Gln Asn Lys Asp Gln Asp Ile Val Lys Lys Ile
                240 @@@@@@@@@@
[q235(▓@▓@▓@▓@▓@
                               245
@Tle GIn Asp Ile Asp Leu Cys Glu Asn Ser Val Gln Arg His Ile
260
OCLY His Ala Asn Leu Thr
                   Phe Glu Gla Leu Arg Ser Leu Met Glu
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                               275
Ser Leu Pro Gly Lys Lys Val Gly Ala Glu Asp Ile Glu Lys Thr
d280@@@@@@@@@
                285 @@@@@@@@@@
                               290
GLeu Trp Arg Ile Lys Asn Gly Asp Gln Asp Thr Leu Lys Gly Leu

d310 @ @ @ @ @ @ @ 315 @ @ @ @ @ @ 320
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                               335
Thr Met Tyr Lys Leu Tyr Cln Lys Leu Phe Leu Glu Met Ile Gly
Asn Gln Val Gln Ser Val Lys Ile Ser Cys Leu
:d370∕‱@‱@‱@‱@⊗@⊘
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Sequence number: 4 (2) FNFORMATION FOR SEQ ID NO: 4: Viength of sequence: 1206 7(1) SEQUENCE CHARACTERISTICS:

Sequence (type) nucleic acid Strandedness: single (dtranded)

(0) Topology: linear (i) Molecule2 type cDNA

SEQUENCE DESCRIPTION: SEO TO NO: 4:
ATGACACT TECTETECTE CECECTETE TTTCTEGACA TCTCCATTAA GTEGACCACC 60 CAGGAAACGT TTCCTCCAAA GTACCTTCAT TATGACGAAG AAACCTCTCA TCAGCTGTTG 120 TGTGACAAAT GTCCTCCTGG TACCTACCTA AAACAACACT GTACAGCAAA GTGGAAGACC 180 GTGTGCGCCC CTTGCCCTGA CCACTACTAC ACAGACAGCT GGCACACCAG TGACGAGTGT 240 CTATACTGCA GCCCCGTGTG CAAGGAGCTG CAGTACGTCA AGCAGGAGTG CAATCGCACC 300 CACAACCGCG TGTGCGAATG CAAGGAAGGG CGCTACCTTG AGATAGAGTT CTGCTTGAAA 360 CATAGGAGCT GCCCTCCTGG ATTTGGAGTG GTGCAAGCTG GAACCCCAGA GCGAAATACA 420 GTTTGCAAAA GATGTCCAGA TGGGTTCTTC TCAAATGAGA CGTCATCTAA AGCACCCTGT 480 AGAAAACACA CAAATTGCAG TGTCTTTGGT CTCCTGCTAA CTCAGAAAGG AAATGCAACA 540 CACGACAACA TATGTTCCGG AAACAGTGAA TCAACTCAAA AATGTGGAAT AGATGTTACC 600 CTGTGTGAGG AGGCATTCTT CAGGTTTGCT GTTCCTACAA AGTTTACGCC TAACTGGCTT 660 AGTGTCTTGG TAGACAATTT GCCTGGCACC AAAGTAAACG CAGAGAGTGT AGAGAGGATA 720 AAACGGCAAC ACAGCTCACA AGAACAGACT TTCCAGCTGC TGAAGTTATG GAAACATCAA 780 AACAAAGACC AAGATATAGT CAAGAAGATC ATCCAAGATA TTGACCTCTG TGAAAACAGC 840 GTGCAGCGGC ACATTGGACA TGCTAACCTC ACCTTCGAGC AGCTTCGTAG CTTGATGGAA 900 AGCTTACCGG GAAAGAAGT GGGAGCAGAA GACATTGAAA AAACAATAAA GGCATGCAAA 960 CCCAGTGACC AGATCCTGAA GCTGCTCAGT TTGTGGCGAA TAAAAAATGG CGACCAAGAC 1020 ACCTTGAAGG GCCTAATGCA CGCACTAAAG CACTCAAAGA CGTACCACTT TCCCAAAACT 1080 GTCACTCAGA GTCTAAAGAA GACCATCAGG TTCCTTCACA GCTTCACAAT GTACAAATTG 1140 TATCAGAACT TATTTTTAGA AATGATAGGT AACCAGGTCC AATCAGTAAA AATAAGCTGC 1200 

delete

Blease corrult sample segvere Leixing (attacked) for valid format. Suggestion's corrult sequere Rule

- (3) Computer: Apple Macintosh:
- (i) Operating System: Macintosh;
- (ii) Macintosh File Type: text with line termination
- (iii) Line Terminator: Pre-defined by text type file;
- (iv) Pagination: Pre-defined by text type file:
- (v) End-of-file: Pre-defined by text
- (vi) Media: (A) Diskett-3.50 inch, 400 Kb storage;
- (B) Diskette-3.50 inch, 800 Kb storage:
- (C) Diskette-3.50 inch, 1.4 Mb st rage:
- (vii) Print Command: Use PRINT command from any Macintosh Application that processes text files, such as MacWrite or Teach Text;
- (4) Magnetic tape: 0.5 inch, up to 2400 feet:
- (i) Density: 1600 or 6250 bits per inch, 9 track;
- (ii) Format: raw, unblocked:
- (iii) Line Terminator: ASCII Carriage Return plus optional ASCII Line Feed:
- (iv) Pagination: ASCII Form Feed or Series of Line Terminators:
- (v) Print Command (Unix shell version given here as sample response-mt/ dev/rmt0; lpr/dev/rmt0):
- (g) Computer readable forms that are submitted to the Office will not be returned to the applicant.
- (h) All computer readable forms shall have a label permanently affixed thereto on which has been hand printed or typed, a description of the format of the computer readable form as well as the name of the applicant, the title of the invention, the date on which the data were recorded on the computer readable form and the name and type of computer and operating system which generated the files on the computer readable form. If all of this information cannot be printed on a label affixed to the computer readable form, by reason of size or otherwise, the label shall include the name of the applicant and the title of the invention and a reference number, and the additional information may be provided on a container for the computer readable form with the name

f the applicant, the title of the invention, the reference number and the additional information affixed to the container. If the computer readable form is submitted after the date f filing

. . . .

under 35 U.S.C. 111, after the date of entry in the national stage und r 35 U.S.C. 371 or after the time of filing, in the United States Receiving Office, an international application under the PCT, the labels mentioned herein must also include the date of the application and the application number, including series code and serial number.

#### § 1.825 Amendments to or replacement of sequence listing and computer readable copy thereof.

- (a) Any amendment to the paper copy of the "Sequence Listing" (§ 1.821(c)) must be made by the submission of substitute sheets. Amendments must be accompanied by a statement that indicates support for the amendment in the application, as filed, and a statement that the substitute sheets include no new matter. Such a statement must be a verified statement if made by a person not registered to practice before the
- (b) Any amendment to the paper copy of the "Sequence Listing," in accordance with paragraph (a) of this section, must be accompanied by a substitute copy of the computer readable form (§ 1.821(e)) including all previously submitted data with the amendment incorporated therein, accompanied by a statement. that the copy in computer readable form is the same as the substitute copy of the "Sequence Listing." Such a statement must be a verified statement if made by a person not registered to practice before the Office.
- (c) Any appropriate amendments to the "Sequence Listing" in a patent, e.g., by reason of reissue or certificate of correction, must comply with the requirements of paragraphs (a) and (b) of this section.
- (d) If, upon receipt, the computer readable form is found to be damaged or unreadable, applicant must provide, within such time as set by the Commissioner, a substitute copy of the data in computer readable form accompanied by a statement that the substitute data is identical to that originally filed. Such a statement must be a verified statement if made by a person not registered to practice before

Appendix A—Sample Sequence Listing (1) GENERAL INFORMATION:

- (i) APPLICANT: Doe, Joan X. Doe, John Q (ii) TITLE OF INVENTION: Isolation and Characterization of a Gene Encoding a Protease from Paramecium sp.
- (iii) NUMBER OF SEQUENCES: 2 (iv) CORRESPONDENCE ADDRESS:
- (A) ADDRESSEE: Smith and Jones
- (B) STREET: 123 Main Street
- (C) CITY: Smalltown
- (D) STATE: Anystate
- (E) COUNTRY: USA
- (F) ZIP: 12345
- (v) COMPUTER READABLE FORM:
- (A) MEDIUM TYPE: Diskette, 3.50 inch, 800 Kb storage
- (B) COMPUTER: Apple Macintosh
- (C) OPERATING SYSTEM: Mcintosh 5.0
- (D) SOFTWARE: MacWrite
- (vi) CURRENT APPLICATION DATA:
- (A) APPLICATION NUMBER: 09/999,999
- (B) FILING DATE: 28-FEB-1989
- (C) CLASSIFICATION: 999/99 (vii) PRIOR APPLICATION DATA:
- (A) APPLICATION NUMBER: PCT/US88/
  - (B) PILING DATE: 01-MAR-1988
- (viii) ATTORNEY/ACENT INFORMATION:
- (A) NAME: Smith, John A.
- (B) RECISTRATION NUMBER: 00001
- (C) REFERENCE/DOCKET NUMBER: 01-
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: (909) 999-0001
  - (B) TELEFAX: (909) 999-0002
- (2) INFORMATION FOR SEQ ID NO: 1:
- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 954 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: genomic DNA
- (iii) HYPOTHETICAL: yes
- (iv) ANTI-SENSE: no (vi) ORIGINAL SOURCE:
- (A) ORGANISM: Paramecium sp
- (C) INDIVIDUAL/ISOLATE: XYZ2
- (G) CELL TYPE: unicellular organism
- (vii) IMMEDIATE SOURCE:
  - (A) LIBRARY: genomic
  - (B) CLONE: Para-XYZ2/36
- (x) PUBLICATION INFORMATION: (A) AUTHORS: Doe, Joan X, Doe, John Q
- (B) TITLE: Isolation and Characterization of a Gene Encoding a Protease from Paramecium sp.
- (C) JOURNAL: Fictional Genes
- (D) VOLUME: I
- (E) ISSUE: 1
- (F) PAGES: 1-20
- (G) DATE: 02-MAR-1988
- (K) RELEVANT RESIDUES IN SEQ ID NO:
- 1: FROM 1 TO 954

BILLING CODE 3510-16-M

eare correct

### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

ATCGGGATAG TACTGGTCAA GACCGGTGGA CACCGGTTAA CCCCGGTTAA GTACCGGTTA	60				
TAGGCCATTT CAGGCCAAAT GTGCCCAACT ACGCCAATTG TTTTGCCAAC GGCCAACGTT	120				
ACGTTCGTAC GCACGTATGT ACCTAGGTAC TTACGGACGT GACTACGGAC ACTTCCGTAC	180				
GTACGTACGT TTACGTACCC ATCCCAACGT AACCACAGTG TGGTCGCAGT GTCCCAGTGT	240				
ACACAGACTG CCAGACATTC TTCACAGACA CCCC ATG ACA CCA CCT GAA CGT CTC Met Thr Pro Pro Glu Arg Leu -30	295				
TTC CTC CCA AGG GTG TGT GGC ACC ACC CTA CAC CTC CTC CTT CTG GGG Phe Leu Pro Arg Val Cys Gly Thr Thr Leu His Leu Leu Leu Gly -25 -20 -15	343				
CTG CTG CTG CTG CTG CCT GGG GCC CAT GTGAGGCAGC AGGAGAATGG Leu Leu Val Leu Pro Gly Ala His -10 -5	393				
GGTGGCTCAG CCAAACCTTG AGCCCTAGAG CCCCCCTCAA CTCTGTTCTC CTAG GGG Gly	450				
CTC ATG CAT CTT GCC CAC AGC AAC CTC AAA CCT GCT GCT CAC CTC ATT Leu Met His Leu Ala His Ser Asn Leu Lys Pro Ala Ala His Leu Ile 1 5 10 15	498				
GTAAACATCC ACCTGACCTC CCAGACATGT CCCCACCAGC TCTCCTCCTA CCCCTGCCTC	558				
AGGAACCCAA GCATCCACCC CTCTCCCCCA ACTTCCCCCA CGCTAAAAAA AACAGAGGGA	618				
GCCCACTCCT ATGCCTCCCC CTGCCATCCC CCAGGAACTC AGTTGTTCAG TGCCCACTTC	678				
TAC CCC AGC AAG CAG AAC TCA CTG CTC TGG AGA GCA AAC ACG GAC CGT Tyr Pro Ser Lys Gln Asn Ser Leu Leu Trp Arg Ala Asn Thr Asp Arg 20 25 30	726				
GCC TTC CTC CAG GAT GGT TTC TCC TTG AGC AAC AAT TCT CTC CTG GTC Ala Phe Leu Gln Asp Gly Phe Ser Leu Ser Asn Asn Ser Leu Leu Val 35	774 -				
PAGAAAAAT AATTGATTTC AAGACCTTCT CCCCATTCTG CCTCCATTCT GACCATTTCA	834				
GGGGTCGTCA CCACCTCTCC TTTGGCCATT CCAACAGCTC AAGTCTTCCC TGATCAAGTC	894				
ACCGGAGCTT TCAAAGAAGG AATTCTAGGC ATCCCAGGGG ACCCACACCT CCCTGAACCA	954				
ALLING CODE 3510-16-C					

- (2) INFORMATION FOR SEQ ID NO: 2:
- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 82 amino acids
  - (B) TYPE: amino acid
- (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: protein
- (ix) FEATURE:
  - (A) NAME/KEY: signal sequence
  - (B) LOCATION: -34 to -1

- (C) IDENTIFICATION METHOD: similarity to other signal sequences, hydrophobic (D) OTHER INFORMATION: expresses
- protease
- (x) PUBLICATION INFORMATION:
  - (A) AUTHORS: Doe, Joan X, Doe, John Q
  - (B) TTTLE: Isolation and Characterization of a Gene Encoding a Protease from Paramecium sp.
- (C) JOURNAL: Fictional Genes
- (D) VOLUME: I
- (E) ISSUE: 1
- (F) PAGES: 1-20
- (G) DATE: 02-MAR-1988 .
- (K) RELEVANT RESIDUES IN SEQ ID NO: 2: FROM -34 TO 48

BILLING CODE 2510-16-M

Here's where sequence 2 starts (after

#### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met Thr Pro Pro Glu Arg Leu Phe Leu Pro Arg Val Cys Gly Thr Thr
-30 -25 -20

Leu His Leu Leu Leu Leu Gly Leu Leu Leu Val Leu Leu Pro Gly Ala
-15 -10 -5

His Gly Leu Met His Leu Ala His Ser Asn Leu Lys Pro Ala Ala His 1 5 10

Leu Ile Tyr Pro Ser Lys Gln Asn Ser Leu Leu Trp Arg Ala Asn Thr 15 20 25 30

Asp Arg Ala Phe Leu Gln Asp Gly Phe Ser Leu Ser Asn Asn Ser Leu 35 40 45

Leu Val

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